



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/801,587 07/19/00 KOBEN

15 09/20/00

EXAMINER

022440 XM91/1013  
SOTTLIED RACKMAN & REYSMAN PC  
270 MADISON AVENUE  
8TH FLOOR  
NEW YORK NY 10016-0601

GENERATION 201.0

ART UNIT

PAPER NUMBER

2004

DATE MAILED:

10/18/01

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner of Patents and Trademarks**

# Office Action Summary

Application No.

09/581,887

Applicant(s)

WOBBEN, ALOVS

Examiner

Nicholas Ponomarenko

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 06 March 2001.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 9-15 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 9-15 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### ***Information Disclosure Statement***

1. The information disclosure statement filed on July 19, 2001 fails to comply with 37 CFR 1.98(a)(1), which requires a list of all patents, publications, or other information submitted for consideration by the Office on form PTO-1449. It has been placed in the application file, but the information referred to therein has not been considered.

### ***Drawings***

2. This application has been filed with informal drawings, which are acceptable for examination purposes only. Formal drawings will be required when the application is allowed.

3. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, sensors for measuring of the network frequency and voltage, power of the generator, etc., must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

4. Figure 1 should be designated by a legend such as --Prior Art-- because only that which is old is illustrated. See MPEP § 608.02(g).

5. The drawings are objected to because the blank boxes of Figures 1 and 2 need letter labels inserted to clarify what element is actually shown. Applicant(s) are reminded that drawings should be easily understood by one of ordinary skill in the art. See MPEP 608.02. Correction is required.

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6. Applicant(s) are required to submit a proposed drawing correction in response to this Office Action. Any proposal by the applicant(s) for amendment of the drawings to correct defects must consist of two parts:

- a) A separate letter to the Draftsman in accordance with MPEP § 608.02(r); and
- b) A print or pen-and-ink sketch showing changes in **red ink** in accordance with MPEP § 608.02(v).

**IMPORTANT NOTE:** The filing of formal drawings to correct the noted defect may be deferred until the application is allowed by the examiner, but the print or pen-and-ink sketch with proposed corrections shown in red ink is required in response to this Office Action, and **may not be deferred**.

### ***Specification***

7. The following guidelines illustrate the preferred layout and content for patent applications. These guidelines are suggested for the applicant's use.

#### **Arrangement of the Specification**

The following order or arrangement is preferred in framing the specification and, except for the reference to "Microfiche Appendix" and the drawings, each of the lettered items should appear in upper case, without underlining or bold type, as section headings. If no text follows the section heading, the phrase "Not Applicable" should follow the section heading:

- (a) Title of the Invention.
- (b) Cross-References to Related Applications.
- (c) Statement Regarding Federally Sponsored Research or Development.
- (d) Reference to a "Microfiche Appendix" (see 37 CFR 1.96).
- (e) Background of the Invention.
  - 1. Field of the Invention.
  - 2. Description of the Related Art including information disclosed under 37 CFR 1.97 and 1.98.
- (f) Brief Summary of the Invention.
- (g) Brief Description of the Several Views of the Drawing(s).
- (h) Detailed Description of the Invention.
- (i) Claim or Claims (commencing on a separate sheet).
- (j) Abstract of the Disclosure (commencing on a separate sheet).
- (k) Drawings.
- (l) Sequence Listing (see 37 CFR 1.821-1.825).

***Claim Objections***

8. The numbering of claims is not in accordance with 37 CFR 1.126 which requires the original numbering of the claims to be preserved throughout the prosecution. When claims are canceled, the remaining claims must not be renumbered. When new claims are presented, they must be numbered consecutively beginning with the number next following the highest numbered claims previously presented (whether entered or not).

Misnumbered claims 1-7 been renumbered as 9 -15.

9. Claims 10 and 11 are objected to because of the following informalities:

In claim 10 word "etwork" is misspelled;

In claim 11 word "predeterminable" is misspelled

Appropriate correction is required.

***Claim Rejections - 35 USC § 112***

10. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

11. Claims 9 -15 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claims 9 -15 are generally narrative and indefinite, failing to conform with current U.S. practice, because the language of the claims 9 -15 do not provide desired clarity and precision, since the scope of the invention sought to be patented cannot be

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determined from the language of the claim with a reasonable degree of certainty. *In re Wiggins*, 488 F.2d 538, 179 USPQ 421 (CCPA 1973).

For example,

In claim 9:

The phrase “an electric generator drivable by a rotor” is not clear,

The phrase “the generator is regulated in respect of its level” does not make any technical sense,

The phrase “the generator is regulated ... in dependence on the level of the electrical voltage applied to the network” is confusing since it is not clear from where “voltage application” takes place.

In claim 15:

The phrase “the generator is regulated in respect of its level” does not make any technical sense;

The phrase “the generator is regulated ... in dependence on the level of the electrical voltage applied to the network” is confusing since it is not clear from where “voltage application” takes place.

Claims 10 -14 are indefinite because they depend on the rejected claim and do not correct the noted problem.

***Claim Rejections - 35 USC § 102***

12. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

13. As far as they can be understood, claims 9 and 15 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by the “Industrial Process Control” or “Switchgear and Control Handbook”. No feature in the claims distinguishes itself from the textbook information, commonly known.

***Claim Rejections - 35 USC § 103***

14. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

15. As far as they can be understood, claims 9 -15 are rejected under 35 U.S.C. 103(a) as being unpatentable over textbooks, as applied to claims 9 and 15 above, and further in view of common knowledge in the art or Doman et al. (US 4,695,736).

It is understanding of the examiner that applicant claims a textbook feedback control system in which generator is controlled in dependence on the voltage at the

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loads, as taught by the referenced textbooks. But the textbooks fail to provide some specific details of control circuits for a windmill, which are shown by Dorman et al.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to develop a method of operating a windmill generator, as taught by the referenced textbooks, and to add specific control elements, as taught by Dorman et al., in order to have an operational control system for a windmill, especially since applicant failed to show that his system is different from many commonly known, and it appears that the claimed invention is a design which is within capabilities of one of an ordinary skill in the art.

### ***Conclusion***

16. The prior art made of record and not relied upon is considered pertinent to applicant(s) disclosure.

17. When the claims are amended, applicant(s) should state in detail where in the original disclosure or in the drawings the amended features find support. **No new matter may be introduced.**

18. Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Nicholas Ponomarenko** whose telephone number is **(703) 308-1776**.

19. Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist, , Mon. - Fri., 8 AM - 5:30 PM

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np

October 15, 2001



**Nicholas Ponomarenko**  
**Primary Examiner**  
**Technology Center 2800**